
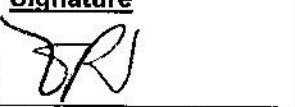
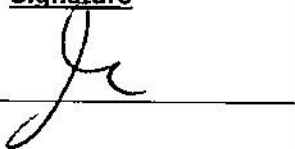




Regional Bureau for Africa Clearance Slip for Agreements			
Subject:	No cost budget revision for the “ <i>Regional Energy Project for Poverty Reduction</i> ”, implemented by the Dakar RSC		
Drafted by:	<u>Name & Title</u> Gita Welch RSC Manager	<u>Signature</u> 	Date:
Reviewed by:	<u>Name & Title</u> Vinetta Robinson Policy Advisor	<u>Signature</u> 	Date: 21/06/2012
Approved by:	<u>Name & Title</u> Babacar Cisse, Officer-In-Charge	<u>Signature</u> 	Date: 21/06/2012
Please call: <i>(for pick up)</i>	Name: Vinetta Robinson		Ext.: 5956
Remarks:	<p>This <i>no-cost</i> revision extends the project to the end of December 2012 to accommodate the completion of ongoing activities, among them: (i) the implementation of ECOWAS energy access strategy; (ii) the formulation of the ECCAS white paper on Access to Energy Services; and (iii) assistance to five countries to adopt and institute commitments in line with the UN Secretary-General’s Sustainable Energy for All (SE4All).</p> <p>These activities are directly relevant to <i>major expected actions</i> 6a and 6c of the RBA 2012 IWP and will be implemented in line with corresponding support from the Bill and Melinda Gates Foundation which also terminates at the end of 2012.</p> <p>Parallel support, financed by the EC for ECOWAS, has not been finalized and is not reflected in the 2012 budget. The challenges in concluding the negotiations with the EC delayed this revision.</p> <p>The original project budget was \$6.22 million, including \$3.5 million and \$2.3 million from TRAC and the EC respectively. While \$1million was transferred to UNDP accounts, the Dakar RSC continues to experience challenges in concluding discussions for the transfer of the remaining amounts from the EC. Together with the exhausted EC resources, just over \$1.8 million has been spent from TRAC up to the end of 2011. Planned expenditures for 2012 amount to \$1,690K and the overall budget revised total \$4.5 million.</p>		

**United Nations Development Programme
Regional Bureau for Africa
Project Document**

Project Title:	Regional Energy Project for Poverty Reduction
UNDP Strategic Outcome(s):	Mainstreaming environment, energy and climate change
Expected Regional Programme Outcome(s):	Enhanced capacities of sub-regional and national institutions to deliver energy services.
Expected Output(s):	<ol style="list-style-type: none">1. All Regional Economic Commissions/Communities (RECs) with regional energy access frameworks and countries with energy access integrated into national development plans.2. Coordination, organizational and technical capacities of ECOWAS and EAC energy divisions strengthened to support the implementation of their respective regional energy policies in the member countries.3. Decentralized and local level managed energy services delivery/models such as multi-functional platforms scaled up to include East and West Africa4. An operational information and knowledge management system incorporating data on energy poverty, energy access policies, financing options and successful delivery mechanisms.
Implementing Partner :	RBA/RSC-Dakar
Responsible Parties:	Regional Economic Communities (RECs) and National Governments
Beneficiaries:	RECs (ECOWAS, EAC, SADC & ECCAS), key government ministries and their officials, UNDP country offices, national electricity & energy utilities, local authorities and organized local energy enterprises.

Budget Revision Justification

The Regional Energy Project for Poverty Reduction has been operational since June 2009 and was expected to end on December 31st, 2011. This no-cost budget revision is aimed at extending the project to December 31st, 2012 in order to finalize ongoing activities, including support to: (i) the implementation of ECOWAS energy access strategy; (ii) the formulation of the ECCAS white paper on Access to Energy Services; and (iii) 10 countries to implement their national energy action plans, including assistance to five countries to adopt and institute commitments in line with the UN Secretary-General's Sustainable Energy for All (SE4All).

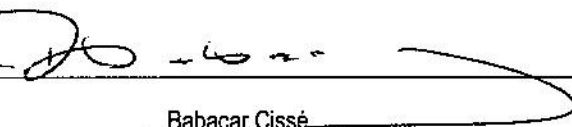
These activities are directly relevant to *major expected actions* 6a and 6c of the RBA 2012 IWP and will be implemented in line with corresponding support from the Bill and Melinda Gates Foundation which also terminates at the end of 2012.

Continued parallel support, financed by the EC for ECOWAS, has not been finalized and is therefore not reflected in the 2012 budget. Challenges in concluding the negotiations with the EC delayed this revision.

Programme Period:	2008 - 2013
Key Result Area (Strategic Plan): Mainstreaming environment, energy and climate change	
Atlas Award ID:	00051408
Atlas Project ID:	00063997
Start date:	30 June 2009
End Date:	31 December 2012
PAC Date:	11 December 2008
Management Arrangements:	DEX/DIM

Total resources required	<u>US\$4,500,00</u>
Previous budget	<u>US\$6,220,000</u>
• Regular RCF III	US\$3,500,000
• Other:	
○ Donor (EC)	US\$2,300,000
○ Donor	_____
○ Donor	_____
○ Government	_____
Unfunded budget:	US\$420,000
In-kind Contributions	
Revised budget	<u>US\$4,500,000</u>
• Regular RCF III	US\$3,500,000
• Other:	
○ Donor (EC)	US\$1,000,000

On behalf of:	Signature	Date	Name/Title
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Agreed by (UNDP):  26 June 2012
Babacar Cissé
Officer-In-Charge
Regional Bureau for Africa

REGIONAL ENERGY PROJECT FOR POVERTY REDUCTION

2012 AWP

OUTCOME: Enhanced capacities of sub-regional and national institutions to deliver energy services								
EXPECTED OUTPUTS	PLANNED ACTIVITIES	TIMEFRAME 2012				RESPONSIBLE PARTY	BUDGET 2012	
		Q1	Q2	Q3	Q4		FUNDING SOURCE	BUDGET DESCRIPTION
Output 1: All Regional Economic Commissions/Communities (RECs) with regional energy access frameworks and countries with energy access integrated into national development plans.	1.1 Activity Result: Consolidation of activities the context of implementation of ECOWAS regional energy access strategy	X	X	X	X			
	1.1.1 Policy Advice and technical support for existing Multisectoral Groups/Committees (technical guidance and policy advice) in at least 10 countries: Sierra Leone, Nigeria, The Gambia, Côte d'Ivoire, Burkina Faso, Senegal, Togo, Guinea, Niger, Mali to implement their national actions plans;						Lead: NMGs, ECOWAS Support: COs, RSC/RP Support: Conslt	71200 International Consultants
2012 Targets: 1. National multi Sectoral groups operational in ECOWAS and actively engaged in energy access activities, e.g. updating and validating country energy access situation								

<p>(Atlas) and formulating technical notes for integrating energy access in national development plans;</p> <p>2. National Action Plans and Commitments for achieving Sustainable Energy for All in at least 6 Countries (Côte d'Ivoire, Gambia, Ghana, Guinea, Kenya, Senegal, Uganda)</p> <p>Indicators: Number of countries with national EA policies and strategies for sustainable energy with the support of UNDP.</p>	<p>and support the establishment and elaboration of action plans in three countries (Liberia, Guinea Bissau and Benin)</p>					
<p>1.1.2 Support national action plans and commitments for achieving SEFA in at least five countries in West Africa (Côte d'Ivoire, The Gambia, Guinea, Ghana and Senegal)</p>	<p>1.1.2 Support national action plans and commitments for achieving SEFA in at least five countries in West Africa (Côte d'Ivoire, The Gambia, Guinea, Ghana and Senegal)</p>		<p>lead: NMGs, Support: COs, RSC/RP Support: Conslt</p>	<p>UNDP</p>	<p>71300 Local Consultants</p>	<p>28,500</p>
<p>1.1.3 Support formulation of EA action plans and investment programmes in, additional countries, at least four : Côte d'Ivoire, The Gambia, Togo, Sierra Leone; and design strategy to support advocacy and resource mobilization efforts for completed investment programmes (Senegal, Niger and Guinea)</p>	<p>1.1.3 Support formulation of EA action plans and investment programmes in, additional countries, at least four : Côte d'Ivoire, The Gambia, Togo, Sierra Leone; and design strategy to support advocacy and resource mobilization efforts for completed investment programmes (Senegal, Niger and Guinea)</p>		<p>lead: NMGs, Support: RSC/RP, COs, ECOWAS Support: TA</p>	<p>UNDP</p>	<p>75700 Training, Workshops & Conferences</p>	<p>20,000</p>

1.1.4 Support stock taking and lessons learned from EA mainstreaming to inform policies and future national EA plans	X	X	X			lead: NMGs, Support: RSC/RP, COs, ECOWAS	UNDP	71200 International Consultants	75,000
								71300 Local Consultants	24,000
								75700 Training, Workshops & Conferences	60,000
								71600 Travel	10,000
1.2 Activity Result: Support formulation of ECCAS Energy access White Paper	X	X	X			lead: ECCAS Support: RSC/RP, COs, Support: Conslt	UNDP		
1.2.1 Support the implementation of ECCAS roadmap for formulation of its regional White Paper on AES						lead: ECCAS Support: RSC/RP, Conslt	UNDP		
1.2.2 Initiate formulation of national energy access actions plans and investment programmes in ECCAS Member States (Central African Republic, Cameroon, Congo, Equatorial Guinea, Gabon and Chad)						lead: Ministries (Energy & Plan) Support: COs, RSC/RP, ECCAS, Conslt	UNDP		

<p>1.3 Activity Result: Support EAC and SADC and contribute to implementation of activities under their energy plans</p>	<p>1.3.1 Support EAC and SADC to develop energy access, energy efficiency and renewable energy action plans linked to Sustainable Energy for All (SEFA) with partnerships identified</p>	<p>X</p>	<p>X</p>	<p>X</p>	<p>lead: EAC, SADC Support: COs, RSC/RP, Conslt</p>	<p>UNDP</p>	<p>71200 International Consultants</p>	<p>45,000</p>
	<p>1.3.1 Support EAC and SADC to develop energy access, energy efficiency and renewable energy action plans linked to Sustainable Energy for All (SEFA) with partnerships identified</p>	<p>X</p>	<p>X</p>	<p>X</p>	<p>lead: RSC/RP, COs Support: EAC, SADC Support: Conslt</p>	<p>UNDP</p>	<p>75700 Training, Workshops & Conferences</p>	<p>65,000</p>
	<p>1.3.2 Facilitate collaboration with private sector and public partnership in support of EAC and SADC action plans</p>	<p>X</p>	<p>X</p>	<p>X</p>	<p>lead: Energy Ministries, COs Support: RSC/RP, EAC, SADC, Support: Conslt</p>	<p>UNDP</p>	<p>71300 Local Consultants</p>	<p>18,000</p>
<p>1.3.3 Support national action plans and commitments for achieving SEFA in at least two countries in East Africa (Kenya, Uganda)</p>	<p>X</p>	<p>X</p>	<p>X</p>	<p>lead: Energy Ministries, COs Support: RSC/RP, EAC, SADC, Support: Conslt</p>	<p>UNDP</p>	<p>71300 Local Consultants</p>	<p>18,000</p>	
<p>Sub-total Output 1:</p>								<p>385,500</p>

<p>Output 2: Coordination, organizational and technical capacities of ECOWAS and EAC energy divisions strengthened to support the implementation of their respective regional energy policies in the member countries.</p>	<p>2.1 Activity Result: Support the collection of information and data analysis on access to energy services for informing policy and formulation of investment programs in ECOWAS</p>	<p>X</p>	<p>X</p>	<p>X</p>	<p>Lead: NMGs, ECOWAS Support: RSC/RP, COs.</p>	<p>UNDP</p>	<p>72100 Contractual Services</p>	<p>81,645</p>
<p>2012 Targets:</p> <p>1. Capacities strengthened for ECOWAS Regional Centre for Renewable Energy and Energy Efficiency (ECREEE) and NMGs to integrate decentralized renewable options and energy efficiency measures into overall energy planning systems and related investment programs</p>	<p>2.1.1 Training (new and refresher) for members of multisectorial groups on methodological approach to integrate access to energy services in sector plans, in a systematic manner - paying particular attention to programmes and projects for access to energy services linked to sectors including health, education, water, agriculture and for productive uses.</p>	<p>X</p>	<p>X</p>	<p>X</p>			<p>75700 Training, workshops and Conferences</p>	<p>40,000</p>
<p>2. ECCAS energy access unit in place; national multisectorial frameworks established in member countries</p> <p>3. Plan in place for EAC and SADC to strengthen</p>	<p>2.2 Activity Result: Support ECOWAS/ECREEE to build technical capacities for implementation of the roadmap on the development of renewable energies programs and adoption</p>	<p></p>	<p></p>	<p></p>				

<p>their institutional capacities and implement their regional strategies</p> <p>Indicators: Number of RECs with plans in place to strengthen capacities for implementation of Energy access strategies.- RE and bioenergy assessments completed and adopted in selected countries with UNDP support.</p>	of energy efficiency measures													
	2.2.1 Contribute/provide knowledge products and avail experiences to ECOWAS/ECREEE's regional forum on bioenergy	X	X	X						UNDP	Lead: ECOWAS/ECRE EE Support: RSC/RP	75700 Training, Workshops and Conferences	40,000	
	2.2.2. Provide technical expertise for the formulation of regional white paper on Energy Efficiency [ECOWAS Sustainable EE for Access in West Africa (SEEA - WA)]		X	X	X							Lead: ECOWAS/ECRE EE, NMGs Support: RSC/RP, COs.	72400 Contractual Services	39,359
	2.3 Activity Result: Support ECOWAS in finalizing a coherent regional plan for Capacity Development on energy access				X						UNDP	Lead: ECOWAS, RSC/RP Support: NMGs	75700 Training, Workshops and Conferences 74100 Professional Services	50,000
<p>of energy efficiency measures</p> <p>2.4 Activity Result: Review and stock taking of Energy Access</p>		X	X	X						UNDP	Lead: EAC, SADC	75700 Training, Workshops	40,000	

	activities in SADC and EAC, and support selected countries developing comprehensive energy access programs								Support: RSC/RP, COs		and Conferences	
	2.5 Activity Result: Support advocacy and resource mobilization efforts for implementation of the approved ECCAS White Paper on energy access											
	2.5.1 Identify and support engagement with key stakeholders for ECCAS energy access policy implementation	X	X	X					Lead: ECCAS Support: RSC/RP, COs	UNDP	71600 Travel 71200 International Consultants	10,000 15,000
	2.5.2 Support the establishment of ECCAS energy access unit and support national multisectorial frameworks	X	X	X					Lead: ECCAS Support: RSC/RP		75700 Training, Workshops and Conferences	30,000
Sub-total Output 2:												346,003

<p>Output 3: Decentralized and local level managed energy services delivery/models such as multi-functional platforms scaled up to include East and West Africa</p> <p>2012 Targets: sustainable micro enterprises for modern energy services set up in 6 new countries</p> <p>- Transition from fossil fuel based MFPs to renewable alternatives</p> <p>Indicators: Number of additional countries engaged in the implementation of decentralized energy services delivery models.</p>	<p>3.1 Activity Result: Review of policy instruments, regulatory frameworks, business models in ECOWAS</p>	X	X	X					
	<p>3.1.1. Formulation of full scale national MFP programmes and piloting renewable energy options in at least two countries (Guinea, pico-hydro and Mali, Solar - Jatropha pilot is already underway)</p>					<p>lead: COs, Host Ministries Support: RSC/RP, ECOWAS Conslt</p>	UNDP	72100 Contractual Services- Companies	68,677
	<p>3.1.2. Stakeholder consultation and national roundtables on MFPs role and contribution to the empowerment of women and to sustainable development in rural areas in three countries (Burkina Faso, Mali and Senegal)</p>					<p>lead: COs, Ministries Support: RSC/RP, Gates Foundation, Conslt</p>	UNDP	75700 Training, Workshops & Conferences	15,500

<p>3.2 Activity Result MFP programme development and scaling up in additional countries (The Gambia, Guinea Bissau, Sierra Leone, Liberia, Cameroun, Centralfrica Republic, Mauritania, Madagascar, Tchad)</p>						
<p>3.2.1. Induction curriculum and capacity development in The Gambia, Guinea Bissau, Togo, Niger; technical support, establishment of M&E system, hands-on technical trainings, integration into regional MFP Projects Network</p>	<p>lead: COs, Energy Ministries Support: RSC/RP, ECOWAS Conslt</p>	<p>UNDP</p>	<p>72100 Contractual Services- Companies</p>	<p>120,000</p>		
<p>3.2.2. Ensure technology and knowledge transfer for MFP pilots in at least six additional countries (Sierra Leone, Liberia, Chad, Madagascar, Cameroun, RCA)</p>	<p>lead: COs, Energy Ministries Support: RSC/RP, ECOWAS Conslt</p>	<p>UNDP</p>	<p>75700 Training, Workshops & Conferences 71600 Travel</p>	<p>35,000 5,000</p>		

	<p>3.3 Activity Result: Identify and support scalable energy delivery models and projects, e.g. Ilembe Community sustainable energy project (S.A) and integrated CC adaptation and energy access project (Zambia) in EAC & SADC.</p>	X	X	X	X	<p>lead: COs, ICSEP, CO Support: RSC/RP, EAC, SADC, Conslt</p>	UNDP	71200 International Consultants	30,000
						<p>lead: COs, ICSEP, CO Support: RSC/RP, EAC, SADC, Conslt</p>	UNDP	71600 Travel	25,000
									299,177
<p>Output 4: An operational information and knowledge management system incorporating data on energy poverty, energy access policies, financing options and successful delivery mechanisms.</p> <p>2012 Targets: Publication of KM products and development of communication and advocacy materials</p> <p>Indicators: Number of knowledge products completed and</p>	<p>4.1. Activity Result: Development of Knowledge products, communication tools and dissemination in ECOWAS</p> <p>4.1.1. Completion and dissemination of impact evaluation studies on MFPS in Burkina Faso, Mali and Senegal (partnership with academia: CERDI ...)</p> <p>4.1.2. Support to publication of country level energy access results and document for advocacy and communicating country</p>	X	X	X	X	<p>lead: COs, Host Ministries Support: RSC/RP, ECOWAS, Gates Support: Academia</p>	UNDP	71600 Travel	15,000
						<p>lead: NMGs, CO Support: RSC/RP, ECOWAS, Conslt</p>	UNDP	74200 Audio Visual & Print Prod Costs	15,000
						<p>lead: RSC/RP</p>		75700	PM

disseminated.	results (EA studies/reports, CD plan)								Support: ECOWAS, NMGs		Training, Workshops & Conferences	
	4.1.3. Publication and support launching and dissemination of bio-energy studies (ECOWAS region and country case studies Togo, Guinea...)	X	X	X	X				lead: RSC/RP Support: ECOWAS, NMGs	UNDP	74200 Audio Visual & Print Prod Costs	21,000
	4.2 Activity Result: ECOWAS Energy Access Regional Web Portal site maintenance, improvement and information supply								lead: ECOWAS, NMGs Support: RSC/RP, COs	UNDP	71200 International Consultants	30,000
	4.3 Activity Result: Complete regional Data/knowledge base inscribed into Geographical Information System (GIS for renewable energy in Niger and Senegal, complete/share EA GIS in partnership with KNUST in Ghana)								lead: NMGs, ECOWAS Support: RSC/RP, KNUST, Conslt	UNDP	72100 Contractual Services-Companies	35,000
4.4 Activity Result: Adoption of African Platform for Development Effectiveness (APDev) as												

REC sustainable energy KM and Community of Practice platform in EAC & SADC	X	X	X						lead: RECs Support: RSC/RP, Cos support: Conslt	UNDP	71200 International Consultants	45,000
4.4.1. Regional workshops (ECOWAS, SADC, EAC, ECCAS) on sustainable energy KM needs assessment, prioritization, platform design and management									lead: RECs (SADC, EAC) Support: RSC/RP, COs support: Conslt	UNDP	71600 Travel	25,000
4.4.2. Collect data, best practices for populating platform, Dissemination of best practices in selected thematic areas to inform policy formulation (e.g. Sustainable Charcoal)												
4.4.3. Validation of KM Platform by RECs, AU and NEPAD			X	X					lead: RECs, AU, NEPAD Support: RSC/RP Support: Conslt	UNDP	75700 Training, Workshops & Conferences	65,000
Sub-total Output 4:												
Output 5 : Management Support, Monitoring and Evaluation Targets: Work plan on track with	X	X	X	X					RSC/RP	UNDP	61100Salary Costs - NP Staff	154,108
									RSC/RP	UNDP	61200 Salary Costs - GS Staff	25,336
									RSC/RP	UNDP	61300 Salary &	24,376

<p>respect to qualitative and quantitative objectives</p> <p>Effective follow-up of activities and progress monitored</p> <p>Indicators: Timely reporting on progress and results.</p>	planning expert					Post Adj Cost-IP Staff		
	5.1.3 Logistics				RSC/RP Support: COs	UNDP	72200 Equipment & Furniture	15,000
	5.1.4 Admin and finance support to the project				RSC/RP	UNDP	71400 Contractual services Ind - Admin finance	20,000
	5.1.5 Travel for project staffs, Advisory services, participation to workshops planned and further monitoring of activities				RSC/RP Support: COs	UNDP	71600 Travel	25,000
	5.1.6 Procurement (office equipment)				RSC/RP	UNDP	72100 Contractual Services- Companies	10,000
	5.1.7 Other rent and maintenance services				RSC/RP	UNDP	71300 Rental & Maintenance	35,000
	5.1.8 Electricity and other				RSC/RP	UNDP	72500 Supplies	5,000
					RSC/RP	UNDP	74500 Miscellaneous Expenses	10,000
	Sub-total Output 5:							323,820
	Monitoring and Evaluation (5% of project budget, including contribution towards the outcome evaluation of the Regional Programme)							84,500
Grand Total:							1,690,000	

Note to File

Regional Energy Project - Management of Gates Component

- 1. Purpose:** This note serves to provide a brief background, context and rationale for the continued management of the Gates Component under UNOPS implementation.
- 2. Background:** Following an 18 month long engagement with the Bill and Melinda Gates Foundation, a cost sharing grant agreement was signed, in November, 2007, between UNDP/RBA and the Bill and Melinda Gates Foundation. Operationally, activities under the agreement are focused on the scaling up of the Multi-Functional Platforms, considered by the Foundation as a successful model for empowering women - hence the title of the grant agreement with for the Foundation as "Expansion of Successful Poverty Reduction & Women's Empowerment Model in West Africa" and to be undertaken as part of what today is referred to as the first phase of the regional energy project executed by UNOPS. It is also, to be noted that for UNDP, the MFP component is but one of the demonstrable applications of decentralized energy enterprises with indeed, a strong focus on women but falling overall under the regional project on energy for poverty reduction.
- 3. Context (of implementing the Gates Component):** Implementation of the Gates component commenced in 2008, under the old regional energy project - implemented by UNOPS. At the time, the third Regional Program Document (2008-2011) had not been formally approved by the board. Furthermore, following approval of the third Regional Program Document (2008-2011) by the Executive Board, the newly formulated regional energy project (2008-2011) was presented to PAC, in December, 2009, with UNOPS as implementing agency. At the time, the Gates funded MFP component was operational with a full staff complement under UNOPS implementation. It was therefore, expected that the Gates MFP component, would be incorporated under the newly formulated regional energy project which at the time, it was assumed would continue under UNOPS implementation. However, in the first quarter of 2010, a change in RBA leadership created an opportunity for a review of some of the regional projects and streamlining of operational support. The review also entailed asserting UNDP's leadership in areas where it had in house substantive and operational capacity. One of the outcomes of the review was the decision for the newly formulated regional energy project to be implemented directly by UNDP and not by UNOPS.
- 4. Rationale for Continued UNOPS Implementation:** The implication of the decision for the newly formulated regional project, 2008-2011, now extended to 2012, to be implemented directly by UNDP [and not UNOPS] was that the Gates Funded component which was already fully operational under UNOPS could not be moved immediately.

After a series of internal consultations with then Deputy Regional Directors, a.i., Mr. Moustapha Soumare and Ms Elizabeth Fong, focusing on strategic & legal considerations as well as management of partner/donor relations, it was determined that the risk of disrupting project operations would have far more reaching consequences, than allowing the project to continue under UNOPS implementation (ref. emails exchanges, 7 & 13 October, 2009). It is thus, that the Gates funded component, even though explicitly accounted for as Output3 of the newly formulated regional energy project (2008-2011/2), has continued under UNOPS implementation.

- **Results and Partner satisfaction:** Results under the MFP component have been deemed highly satisfactory as recorded by 3 independent Gates funded field monitoring missions, full acceptance of progress reports, disbursements of subsequent tranches to UNDP by the Foundation and adoption of MFP programs by additional countries. The Dakar regional center continues to provide substantive guidance to UNOPS for the successful implementation of this component.

Drafted by Metsi Makhetha

2012-03-09